Waters™

應用手冊

Accelerated, Automated Development of Robust LC Methods within a QbD Framework

Peter G. Alden, Dana Yurach, Warren B. Potts

Waters Corporation

Abstract

This application note demonstrates accelerated, automated development of robust LC methods within a QbD framework.

Benefits

The goal of this work is to demonstrate an accelerated method development approach using a Design of Experiments-based Quality by Design (QbD) methodology to develop HPLC and/or UPLC methods. Resulting methods are optimized for performance and robustness, ensuring success in final method validation and ultimately in method transfer.

Introduction

The process of drug development produces samples of varying complexity with specific analytical requirements. The associated method development efforts that take place throughout a pharmaceutical organization can be a costly and time-consuming process. Streamlining the method development process can potentially allow these organizations to bring products to market faster and in a more cost-effective manner.

A myriad of approaches can be used to develop chromatographic methods, including manual trial and error (one factor at a time), software-based first principles, a simplex optimization, and design of experiments (DOE). Of these, only DOE can identify and quantify the complex interaction effects between method variables, in alignment with ICH Q8 (R2) Pharmaceutical Development.

A demonstrative method development example was carried out using a fullyautomated and integrated system consisting of Fusion AE Method Development Software, Empower 2 Chromatography Data Software (CDS), and an ACQUITY UPLC System with a photodiode array (PDA) detector, Column Manager, and Solvent Select Valve. This system configuration allowed for the screening of up to four different column chemistries, six different aqueous buffers/pHs, and two different organic mobile phases in one experiment (Figure 1).

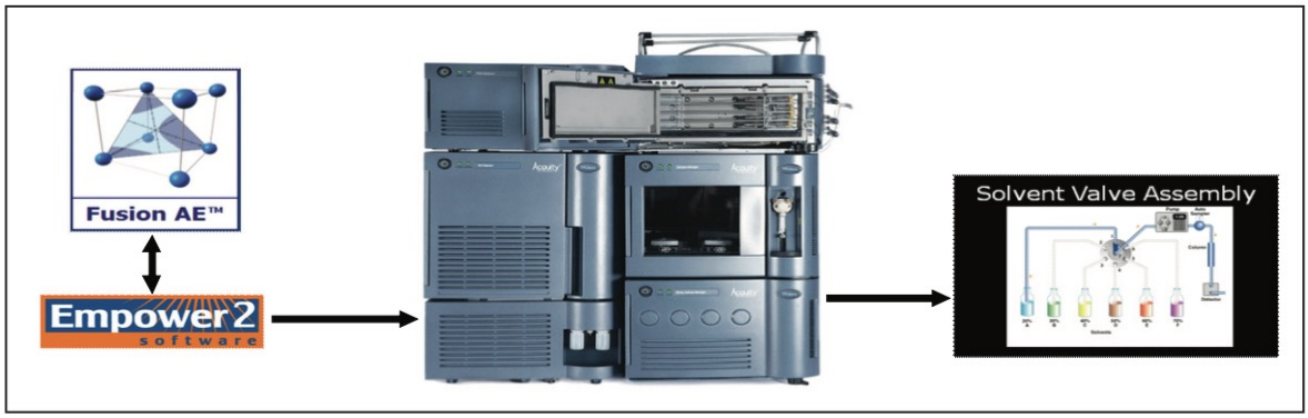

Figure 1. Fusion AE Method Development Software, Empower 2 Chromatography Data Software, and the ACQUITY UPLC System used for method development.

Fusion AE is Quality by Design-based LC method development software with built-in robustness metrics. Fusion AE interfaces with the Empower 2 CDS, which controls the ACQUITY UPLC System. Using the chromatographic results from the Empower 2 CDS, Fusion AE manages complex statistics and automates method screening and 2 Analysis of Intact Lipids from Biologics Matrices by UPLC/Ion Mobility TOF-MS optimization. It builds experiments, analyzes data, and presents results as visual and numerical method predictions.

Fusion AE uses a logical workflow (Figure 2) that leads the user through the entire development process of designing the experiment and obtaining an optimized analytical method with a defined Design Space.

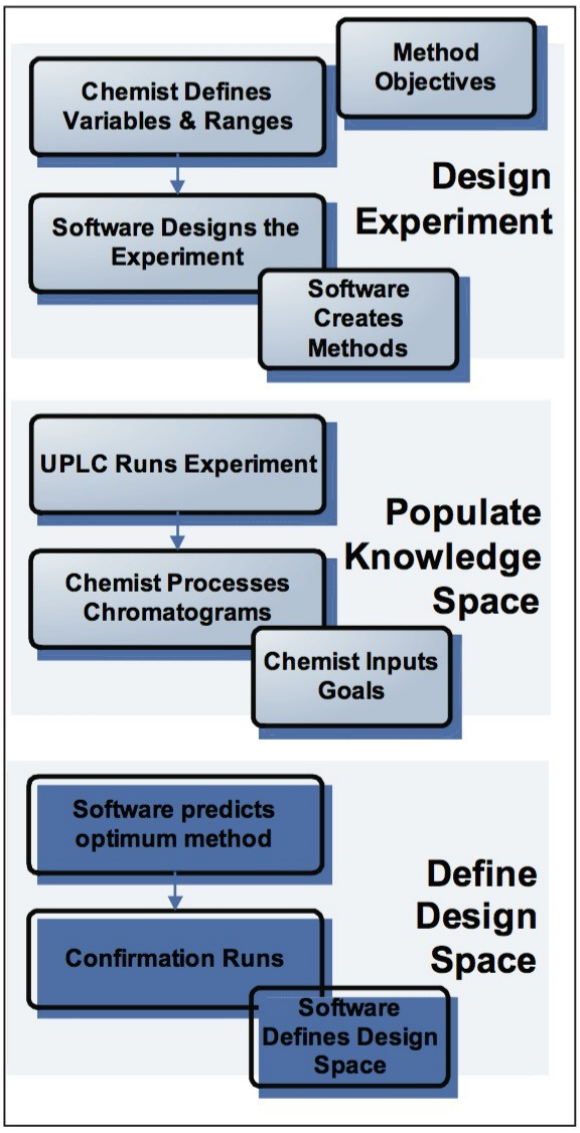

Figure 2. The method development workflow.

In the first step, Fusion AE automatically creates experiments that develop and optimize LC methods using standard or user-customized templates. Any combination of instrument parameters to study can be selected from the available variables list (Figure 3). The software constructs an Experimental Region and selects the most efficient statistical experimental design. Fusion AE then exports the experimental design to Empower 2 CDS, automatically creating all the instrument methods, method sets, and sample sets necessary to carry out the experiment and populate the knowledge space.

The ACQUITY UPLC System is used to run and process the collected chromatographic data, and the results are imported back into Fusion AE, which statistically analyzes and models the method performance responses into a quantitative Design Space. Data is quickly interpreted in reports and graphics for easy visualization of method results and interactions between variables.

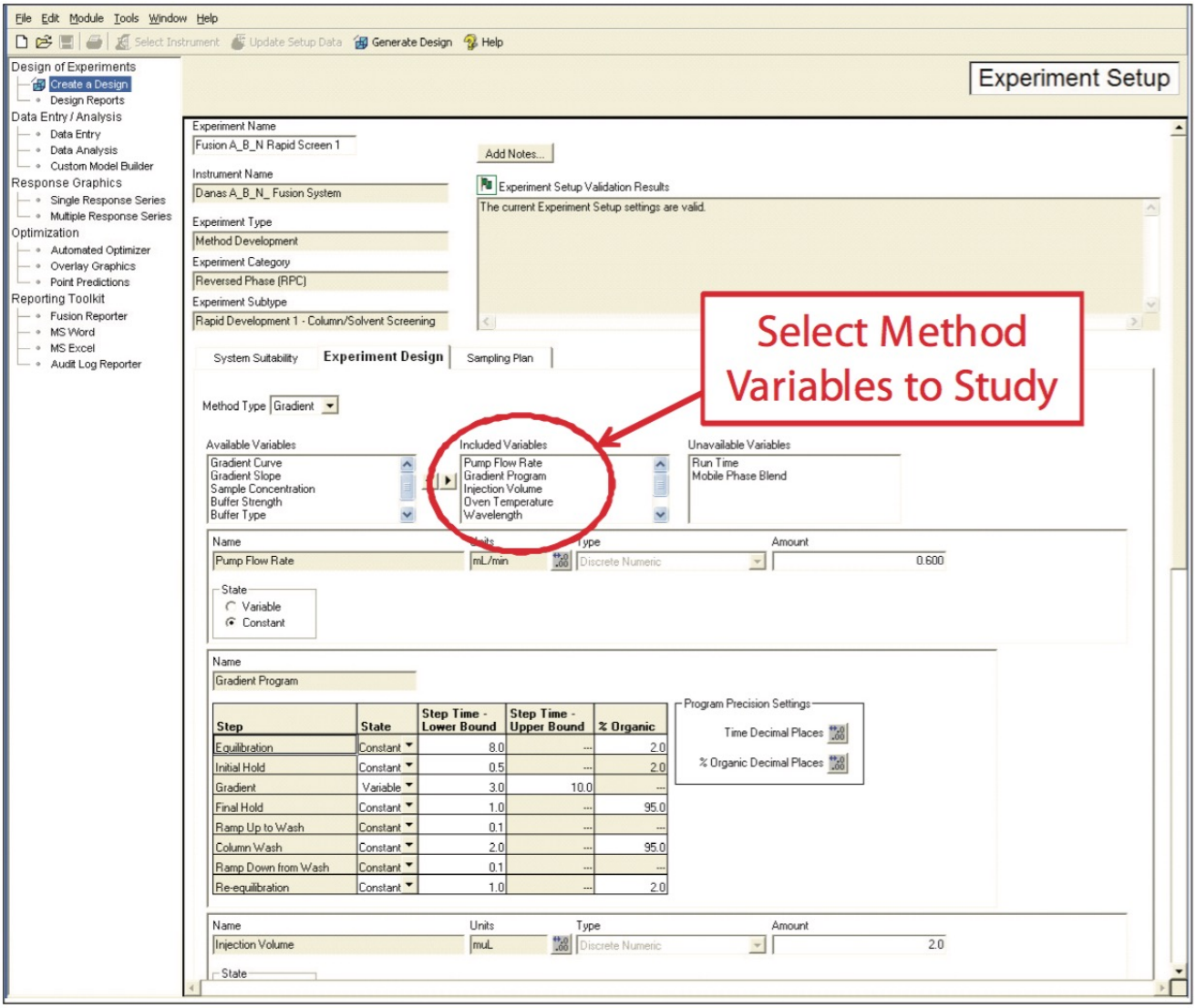

Figure 3. Rapid screening design.

Method development with Fusion AE is accomplished in two phases:

- In Phase 1, Rapid Screening experiments are typically carried out to study the major effectors of selectivity in a chromatographic method including the column chemistry, mobile phase pH/composition, organic mobile phase, and general gradient conditions.
- In Phase 2, Method Optimization experiments are run starting with the column and mobile phase conditions determined in Phase 1 plus additional secondary effectors of selectivity (column temperature, flow rate, specific gradient conditions, etc.) with tighter ranges to determine the optimum LC method.

Fusion AE quantitatively evaluates method robustness without running additional experiments and identifies methods that are optimized for both mean performance and method robustness. Considering robustness during

the method development phase, as recommended in the ICH Q2A guidance, can save considerable time and resources, and can give confidence that the method will pass validation and/or method transfer.

Experimental

LC conditions

LC system:	ACQUITY UPLC
Columns:	ACQUITY BEH C_{18}, 2.1 x 50 mm, 1.7 µm ACQUITY BEH Shield RP18, 2.1 x 50 mm, 1.7 µm ACQUITY BEH Phenyl, 2.1 x 50 mm, 1.7 µm ACQUITY HSS C_{18} SB, 2.1 x 50 mm, 1.8 µm
Buffers:	10 mM Ammonium Formate, pH 3.0 10 mM Ammonium Acetate, pH 6.5 10 mM Ammonium Bicarbonate, pH 9.0
Organic mobile phases:	Acetonitrile Methanol
Gradient:	2% B to 95% B
Gradient time:	3 min lower bound 10 min upper bound
Flow rate:	0.25 to 0.60 mL/min
Column temp.:	35 °C to 60 °C
Gradient range:	2% B to 80% B lower bound 2% B to 95% B upper bound
Gradient time:	2 min lower bound

	6 min upper bound

The next phase was to run a Method Optimization using the column and mobile phase selections determined from the Rapid Screen. An experimental design was created to optimize for the secondary effectors of selectivity:

Flow rate:	0.25 to 0.60 mL/min
Column temp.:	35 °C to 60 °C
Gradient range:	2% B to 80% B lower bound
	2% B to 95% B upper bound
Gradient time:	2 min lower bound
	6 min upper bound

Results and Discussion

In order to demonstrate this method development workflow, a mixture of 11 acidic, basic, and neutral compounds was prepared and a UPLC method was developed using Fusion AE. A rapid screening experiment was run evaluating four column chemistries, three buffer pHs, two organic mobile phases, and gradient time.

After running the experimental design on the ACQUITY UPLC System, the results were imported into Fusion AE and analyzed. The Automated Optimizer used the goals set for the method and determined the best conditions to be the ACQUITY UPLC BEH C_{18} Column with pH 9.0 buffer, acetonitrile as the organic mobile phase and a gradient time of 3 min (Figure 4). The results for the C_{18} column are easily visualized using the overlay graph (Figure 5). The unshaded region indicates the conditions where all of the mean performance goals were achieved.

Optimization Search Results - Fusion A_B_N Rapid Screen 1

Optimizer Answer #1: 23 of 34

Optimized Results from Rapid Screen

Study Variable Data

Study Variable Name	Optimizer Answer Level Setting
Gradient Time	3.00
Organic Solvent Type	Acetonitrile
pH	9.000
Column Type	BEH C18, 2.1x50mm, 1.7um

Predicted Response Data

Response Variable Name	Target	Optimizer Answer Predicted Response	-2 Sigma Confidence Limit	+2 Sigma Confidence Limit	Relative Rank
No. of Peaks	Maximize	12.72	11.66	13.78	1.0
No. of Peaks >= 1.00 - USPResolution	Maximize	13.70	11.51	15.90	1.0
No. of Peaks >= 1.50 - USPResolution	Maximize	12.14	10.79	13.49	0.8
No. of Peaks >= 2.00 - USPResolution	Maximize	12.07	10.76	13.38	0.6
No. of Peaks >= 3.00 - USPResolution	Maximize	10.34	7.86	12.83	0.4
No. of Peaks <= 1.50 - USPTailing	Maximize	10.75	5.08	22.61	0.5
No. of Peaks <= 2.00 - USPTailing	Maximize	11.60	8.95	14.25	0.5

Figure 4. Rapid screening optimizer results.

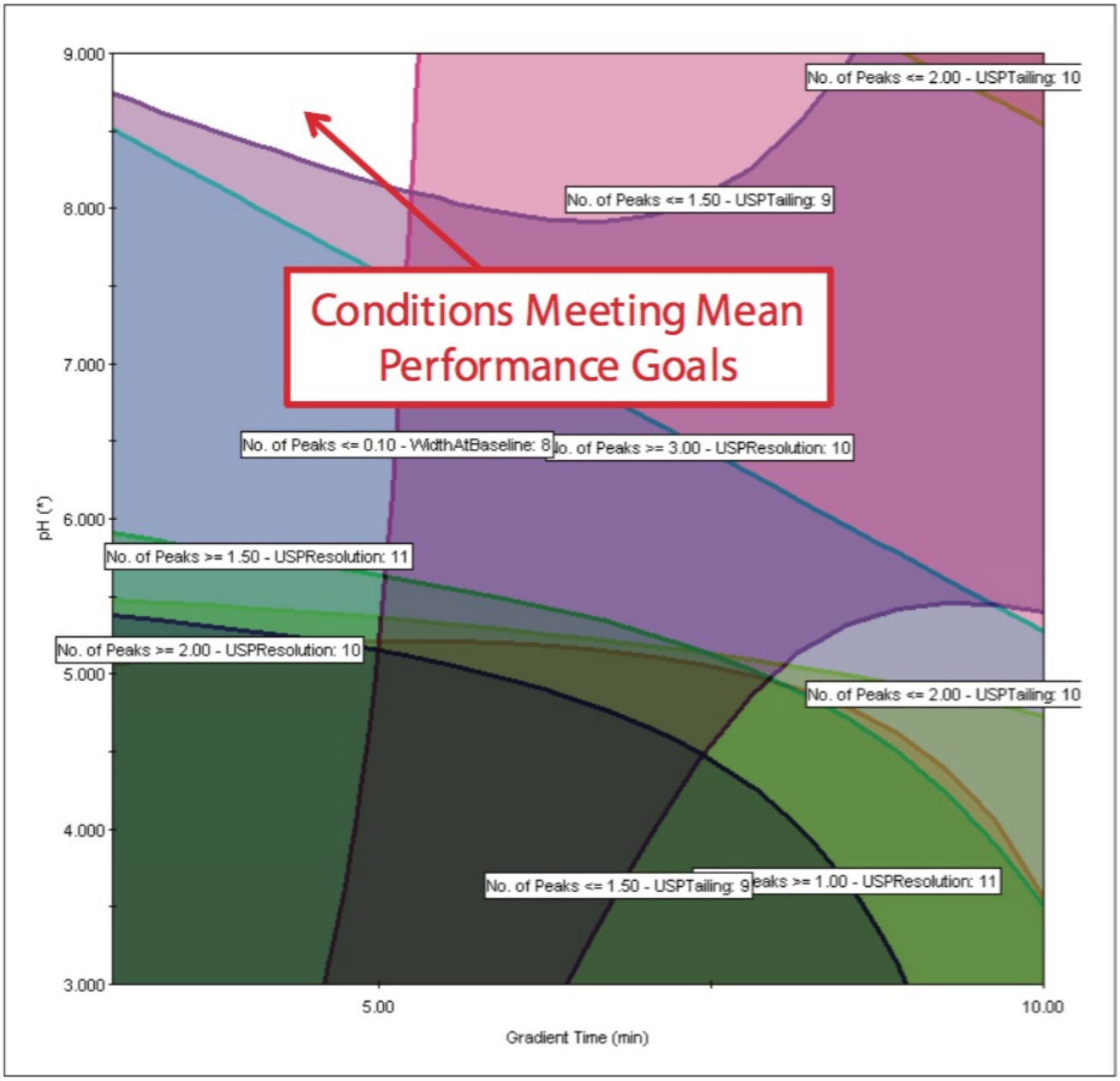

Figure 5. Rapid screening overlay graph, C_{18} column/acetonitrile.

The UPLC results obtained for this optimization run were analyzed in Fusion AE. Different types of interactions between variables including linear additive effects, simple interactions, and complex interactions were observed using the Multiple Response Surface Plots and the Multiple Response Effects Plots (Figures 6 and 7). Goals for the method were set for number of peaks, USP resolution of peaks, peak widths, USP tailing, retention time of the last peak, along with robustness measurements for these responses. The Automated Optimizer calculated the best conditions to meet our mean performance goals and robustness criteria and identified the predicted results for these conditions (Figure 8).

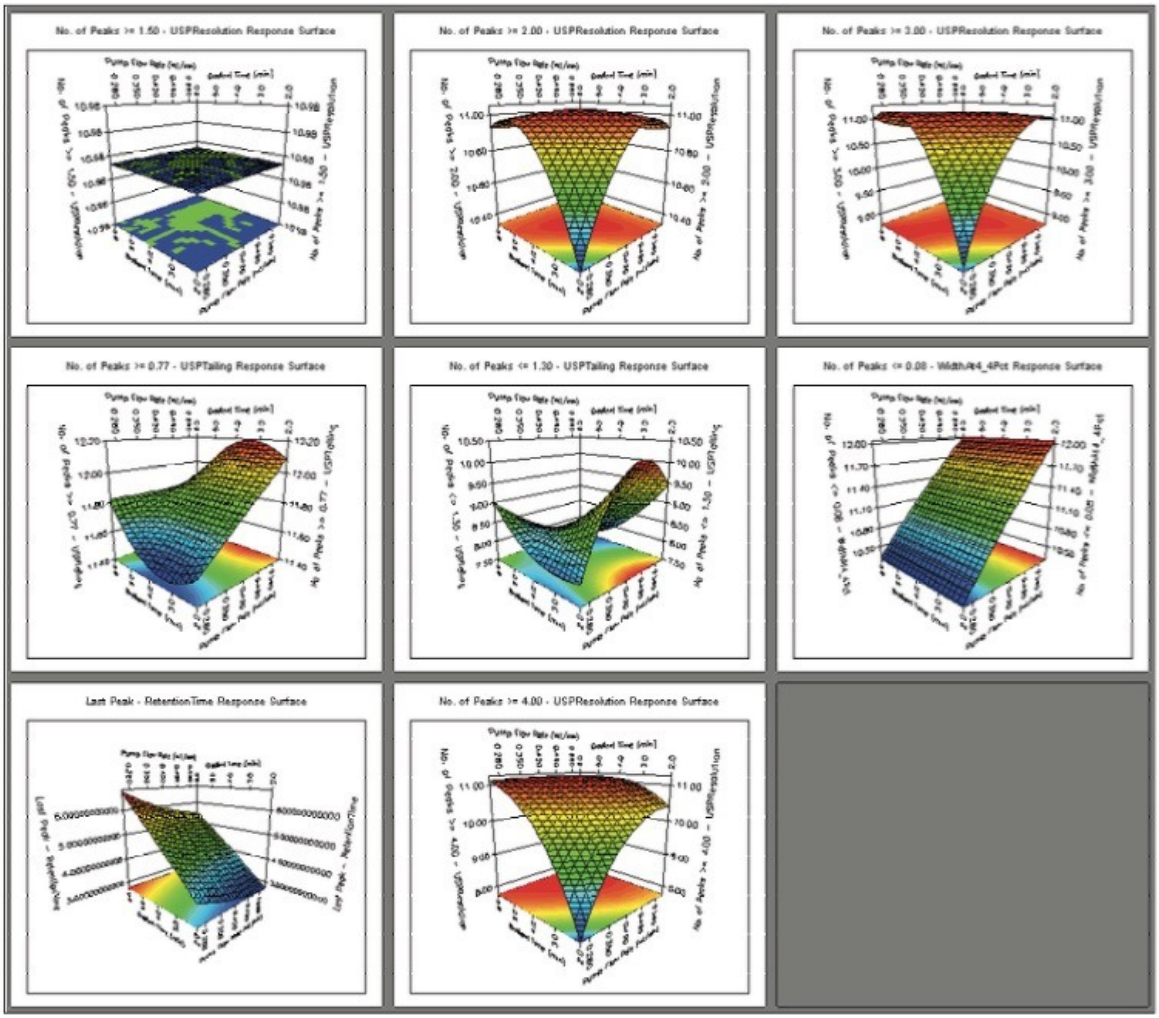

Figure 6. Method optimization, multiple response surface plots.

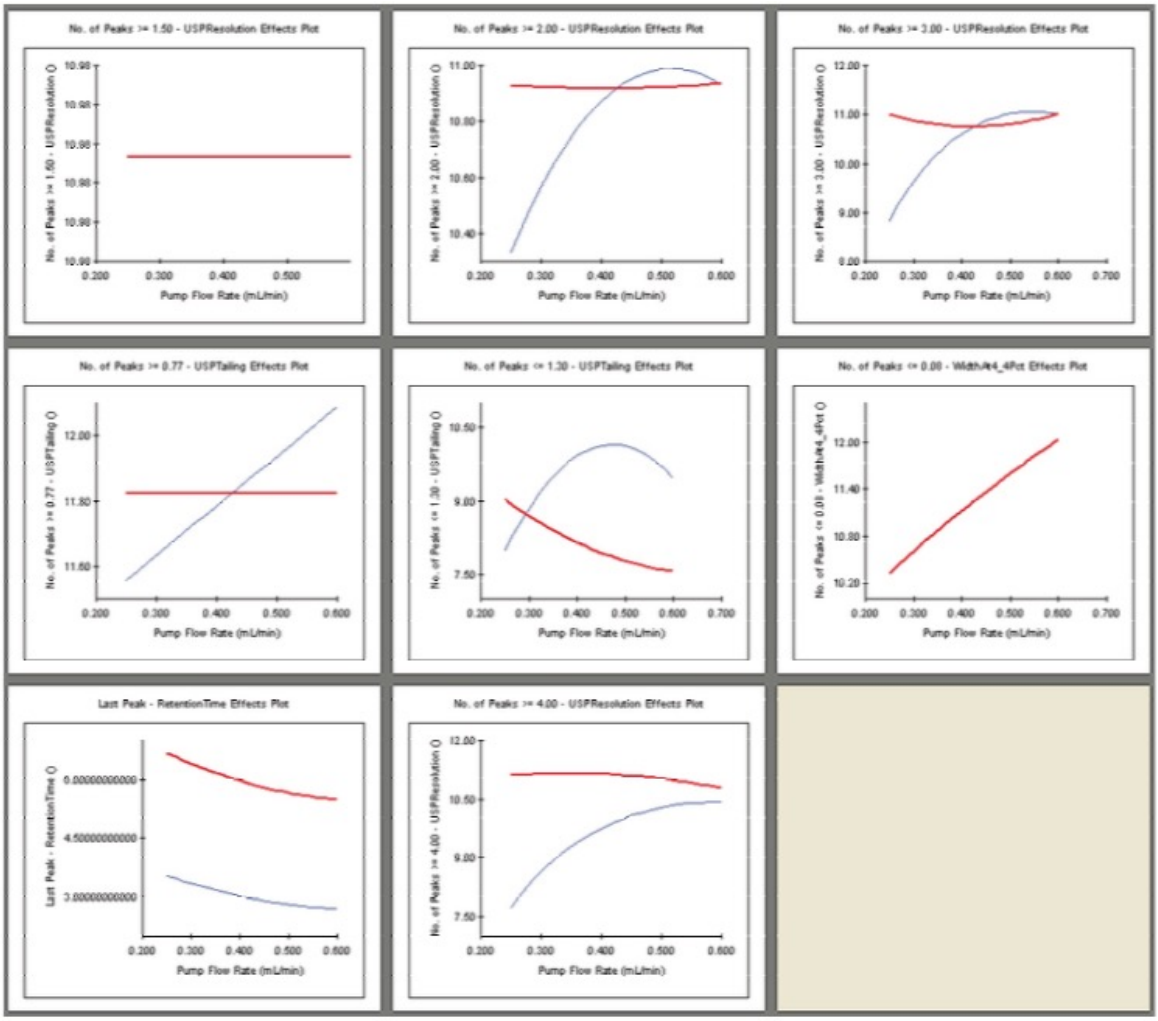

Figure 7. Method optimization, multiple response effects plots.

Optimization Search Results - Fusion A_B_N Meth Opt 1

Optimizer Answer #1: 1 of 59

Export to Empower 2 for Verification

Study Variable Data

Study Variable Name	Optimizer Answer Level Setting
Pump Flow Rate	0.475
Gradient Time	3.48
Final % Organic	88.50
Oven Temperature	50.4

Predicted Response Data

Response Variable Name	Target	Optimizer Answer Predicted Response	-2 Sigma Confidence Limit	+2 Sigma Confidence Limit	Relative Rank
No. of Peaks >= 1.50 - USPResolution	Maximize	10.98	10.75	11.21	1.0
No. of Peaks >= 2.00 - USPResolution	Maximize	11.04	10.85	11.23	1.0
No. of Peaks >= 3.00 - USPResolution	Maximize	11.14	10.52	11.69	1.0
No. of Peaks >= 0.77 - USPTailing	Maximize	11.82	11.30	12.35	1.0
No. of Peaks <= 1.30 - USPTailing	Maximize	9.14	8.03	10.24	1.0
No. of Peaks <= 0.08 - WidthAt4_4Pct	Maximize	11.43	10.89	11.93	1.0
Last Peak - RetentionTime	Minimize	3.91638935340	3.88682432425	3.94595438255	0.5

Figure 8. Method optimization, optimizer results.

The Overlay Graph (Figure 9) clearly shows within the unshaded region the conditions where our mean performance goals and robustness criteria are achieved, defining the Design Space. Within the Design Space a square region can be selected to define the Operating Space where any change in the conditions within this region would not be considered a change in the chromatographic method based on our interpretation of ICH Q2A.

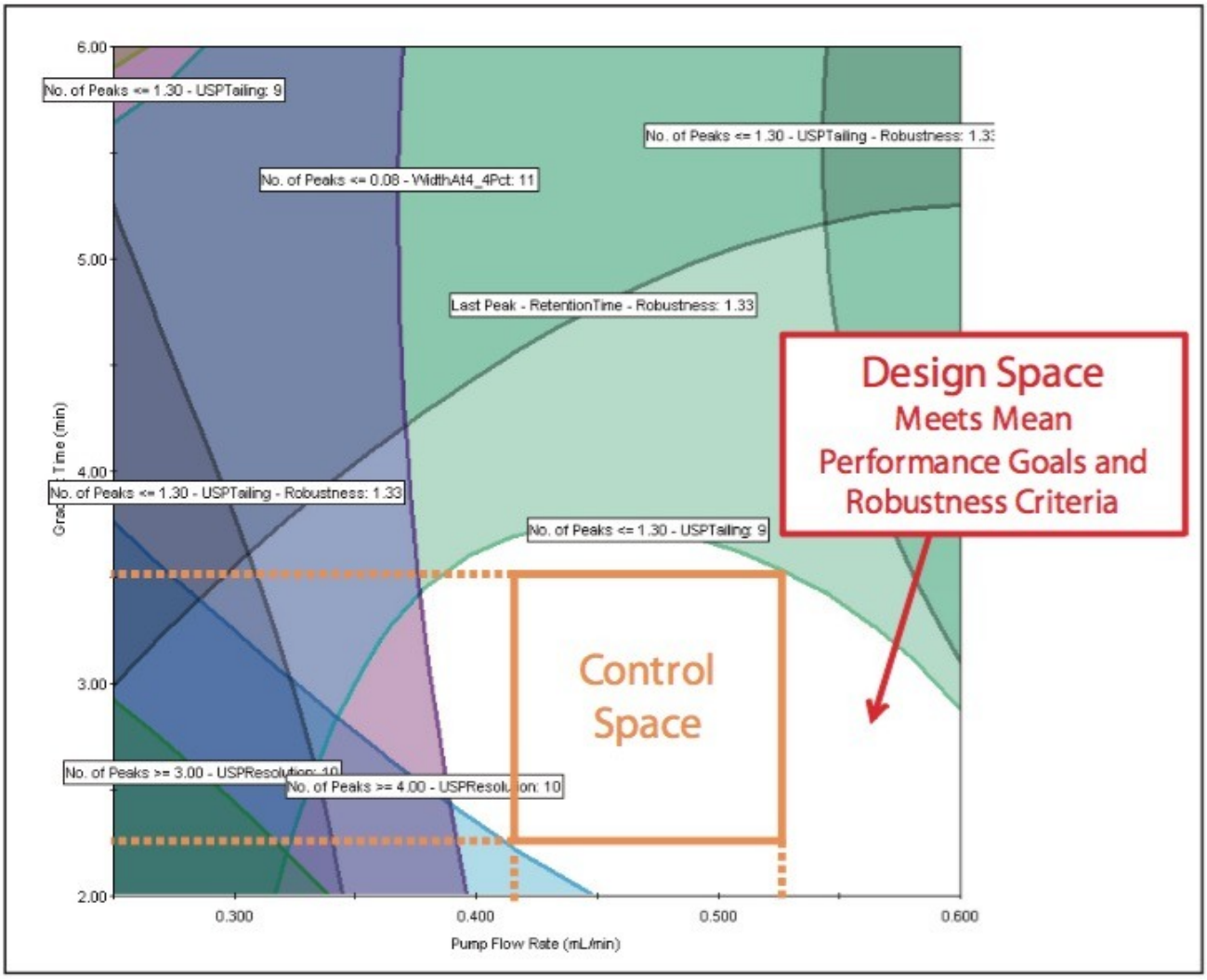

Figure 9. Method optimization, overlay plot.

In order to verify that the optimized method will perform as expected, the Automated Optimizer prediction was exported to Empower 2 and run on the ACQUITY UPLC. The resulting chromatogram (Figure 10) shows an excellent separation in less than 5 minutes with good resolution between all 11 compounds (including an impurity) and good peak shape. Comparing the result table (Figure 11) with the predicted results from the Automated Optimizer indicates that the experimental results all meet or exceed the predicted results for the optimized method.

This entire method development process, including the Rapid Screening and the Method Optimization, required two days to obtain a final method.

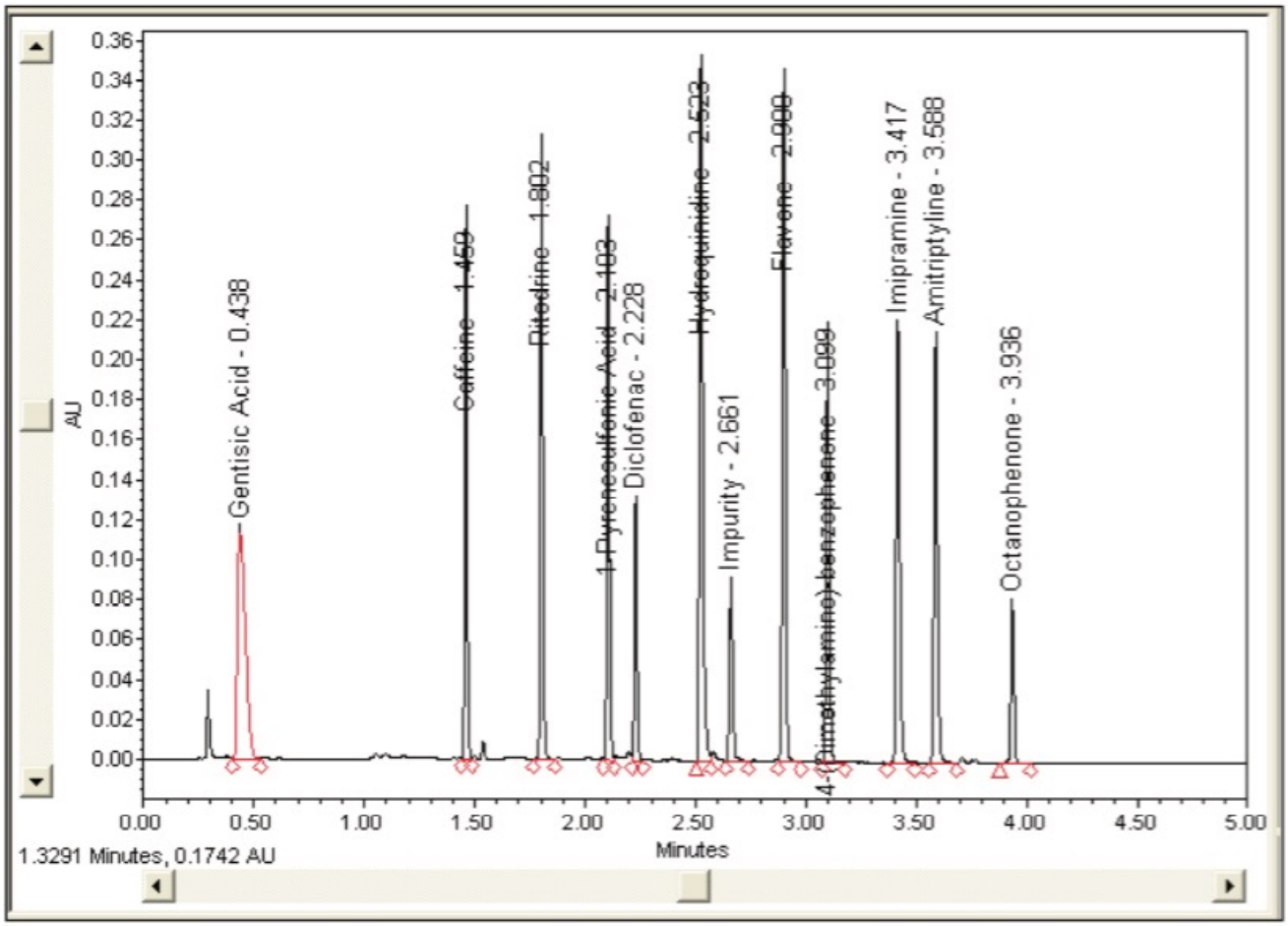

Figure 10. Optimized method exported and run on ACQUITY UPLC.

Chromatographic Results					Experimental Results vs Predicted		
Compound	Rt	USP Rs	USP Tailing	Width @ 4.4%		Experimental	Predicted
Gentisic Acid	0.438		1.7	0.078	# of Peaks USP Rs = 1.5	11	10.98
Caffeine	1.459	23.73	1.16	0.025	# of Peaks USP Rs = 2.0	11	11.04
Ritodrine	1.802	16.69	1.18	0.028	# of Peaks USP Rs = 3.0	11	11.14
1-Pyrenesulfonic Acid	2.103	13.63	1.19	0.029	# of Peaks USP Rs = 4.0	11	10.96
Diclofenac	2.228	5.42	1.22	0.029	# of Peaks USP Tailing = 0.77	12	11.82
Hydroquinidine	2.523	11.56	1.55	0.039	# of Peaks USP Tailing = 1.30	10	9.14
Impurity	2.661	5.1	1.12	0.035	# of Peaks Width@4.4% = 0.08	12	11.43
Flavone	2.9	9.27	1.08	0.032	Last Peak Rt	3.936	3.916
4-(Dimethylamino)-benzophenone	3.099	7.78	1.07	0.033			
Imipramine	3.417	11.45	1.22	0.039			
Amitriptyline	3.588	5.74	1.19	0.039			
Octanophenone	3.936	12.33	1.04	0.034			

Figure 11. Results of optimized method run on ACQUITY UPLC.

Conclusion

Fusion AE Method Development Software with Empower 2 CDS and ACQUITY UPLC provides an ideal platform for method development using a QbD with Design of Experiments approach allowing scientists to develop the best possible methods faster and with greater confidence and method knowledge.

Using Fusion AE in combination with ACQUITY UPLC, the time required to develop optimized, robust LC methods can be reduced from weeks/months to days. The use of ACQUITY UPLC or ACQUITY UPLC H-Class systems dramatically increases the speed of the method development process while reducing solvent consumption for an overall increase in productivity and decrease in laboratory costs.

Featured Products

- ACQUITY UPLC System <https://www.waters.com/514207>
- Empower 3 Chromatography Data Software <https://www.waters.com/513188>
- ACQUITY UPLC PDA Detector <https://www.waters.com/514225>

720003463, April 2010